

I Mina Trental Knitro Na Lihelaturan Guåhan
APPOINTMENTS

DOCUMENT NO.	APPOINTEE	POSITION	AGENCY	APPT. DATE	TERM LENGTH	CMTE REFERRED	DATE REFERRED	PUBLIC HEARING DATE	CMTE REPORT FILED	DATE CONFIRMED	NOTES
35GL-19-0710	David N. Sanford	Member (Private Sector Representative)	Government of Guam Retirement Fund Board of Trustees	7/1/19	Five (5) Years; (To fill the seat of Gerard Cruz, whose term has expired)	Committee on General Government Operations, Appropriations, and Housing	7/5/19	11/4/19 10:30 a.m.	2/25/20 4:00 p.m.		
						Committee on Public Accountability, Human Resources, and the Guam Buildup	7/10/19				



Office of the Speaker

TINA ROSE MUÑA BARNES

I Mina'trentai Singko Na Liheslaturan Guåhan

Committee on Public Accountability, Human Resources, and the Guam Buildup

19 FEB 2020

for Biscoe

The Honorable Régine Biscoe Lee
Chair, Committee on Rules
I Mina'trentai Singko na Liheslaturan Guåhan
35th Guam Legislature
Guam Congress Building
163 Chalan Santo Papa
Hagåtña, Guam 96910

RE: Committee Report on the appointment of David N. Sanford; Member (Private Sector Representative), Board of Trustees Government of Guam Retirement Fund

Dear Chair Biscoe Lee:

Transmitted herewith is the Report of the Committee on Public Accountability, Human Resources, and the Guam Buildup on the appointment of David N. Sanford; Member (Private Sector Representative), Board of Trustees Government of Guam Retirement Fund.

Committee votes are as follows:

- 8 TO CONFIRM
- X TO NOT CONFIRM
- X TO REPORT OUT ONLY
- X TO ABSTAIN
- X TO PLACE IN INACTIVE FILE

2020 FEB 25 PM 4:00

Si Yu'os Ma'åse',

Tina Rose Muña Barnes

TINA ROSE MUÑA BARNES
Chairperson

RECEIVED
FEB 19 2020
3:40 pm.
COMMITTEE ON RULES

[Signature]

Revision's Rec'd: 2/25/20 @ 2pm.





Office of the Speaker

TINA ROSE MUÑA BARNES

I Mina'trentai Singko Na Liheslaturan Guåhan

Committee on Public Accountability, Human Resources, and the Guam Buildup

COMMITTEE REPORT

Nomination of David N. Sanford

As Member (Private Sector Representative) of the Government of Guam Retirement Fund Board of Trustees; term length of five (5) years – to fill the seat of Gerard Cruz, whose term has expired.



Address: 163 W. Chalan Santo Papa Hagåtña, GU 96910

Phone: (671) 477-2520/2521 / Email: speaker@guamlegislature.org / Website: www.guamlegislature.org



Office of the Speaker

TINA ROSE MUÑA BARNES

I Mina'trentai Singko Na Liheslaturan Guåhan

Committee on Public Accountability, Human Resources, and the Guam Buildup

MEMORANDUM

19 FEB 2020

TO: All Members
Committee on Public Accountability, Human Resources, and the Guam Buildup

FROM: Speaker Tina Rose Muña Barnes
Chairperson

SUBJECT: Committee Report on the appointment of David N. Sanford

Transmitted herewith for your consideration is the Committee Report on the appointment of David N. Sanford; Member (Private Sector Representative), Board of Trustees Government of Guam Retirement Fund.

This report includes the following:

- Copy of COR Re-referral of the Appointment
- Copy of the Appointment Letter to the Speaker
- Copy of the Appointment Packet & Supporting Documents
- Notices of Public Hearing
- Copy of the Public Hearing Agenda
- Public Hearing Sign-in Sheet
- Submitted Written Testimonies and Supporting Documents
- Committee Report Digest
- Committee Report Vote Sheet

Please take the appropriate action on the attached voting sheet. Your attention to this matter is greatly appreciated. Should you have any questions or concerns, please do not hesitate to contact me.

Sincerely,


TINA ROSE MUÑA BARNES

Chairperson



Senator Régine Biscoe Lee
Chairperson
Senator Amanda L. Shelton
Vice Chairperson
Speaker Tina Rose Muña Barnes
Member
Vice Speaker Telena Cruz Nelson
Member
Senator Kelly Marsh (Taitano), PhD
Member
Senator Sabina Flores Perez
Member



COMMITTEE ON RULES
I MINA'TRENTAI SINGKO NA LIHESLATURAN GUÁHAN
35TH GUAM LEGISLATURE

Senator Clynton E. Ridgell
Member
Senator Joe S. San Agustin
Member
Senator Jose "Pedo" Terlaje
Member
Senator Therese M. Terlaje
Member
Senator James C. Moylan
Member
Senator Mary Camacho Torres
Member and Chair, Subcommittee on Protocol

July 10, 2019

MEMO

To: **Rennae Meno**
Clerk of the Legislature
Attorney Julian Aguon
Legislative Legal Counsel

From: **Senator Régine Biscoe Lee**
Chairperson, Committee on Rules

Re: **Re-referral of Appointment**

Buenas yan Háfa adai.

As Chairperson of the Committee on Rules, I am forwarding the re-referral of the following appointment:

Appointee: **David N. Sanford**
Position: Member (Private Sector Representative), Board of Trustees, Government of Guam Retirement Fund
Term Length: **Five (5) Years (To fill the seat of Gerard Cruz, whose term has expired)**

The appointee's nomination packet may be referenced in the Guam Legislature's website at http://guamlegislature.com/35th_mess_comms.htm. Please refer to the following document:

"35GL-19-0710- Office of the Governor of Guam - Appointment and Supporting Documents for David N. Sanford, Member (Private Sector Representative), Board of Trustees, Government of Guam Retirement Fund."

Please ensure that the aforementioned appointment is re-referred to **Speaker Tina Rose Muña Barnes, Chairperson of the Committee on Public Accountability, Human Resources, and the Guam Buildup.**

If you have any questions or concerns, please feel free to contact Mary Maravilla, Committee on Rules Director at 472-2461.

Thank you for your attention to this matter.

Respectfully,


Senator Régine Biscoe Lee
Chairperson, Committee on Rules



I Miss 'Trental Kalitra Na Libeslauran Guåhan
APPOINTMENTS

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						Committee on Public Accountability, Human Resources, and the Guam Buildup	7/10/19				

UFISINAN I MAGA'HAGA
OFFICE OF THE GOVERNOR

LOURDES A. LEON GUERRERO
MAGA'HAGA • GOVERNOR



JOSHUA F. TENORIO
SIGUNDO MAGA'LÁHI • LIEUTENANT GOVERNOR

July 1, 2019

Honorable Tina Muna Barnes
Speaker
I Mina'trentai Singko Na Liheslaturan Guåhan
Guam Congress Building
163 Chalan Santo Papa
Hagatna, Guam 96932

356L-19-0710
Speaker Tina Rose Muña Barnes

JUL 03 2019
Time 1:52 () AM (X) PM
Received By: Alan

RE: Board Appointment

Dear Madame Speaker:

By virtue of the authority vested in me pursuant to the Organic Act of Guam and the local laws applicable to the following position, I am pleased to transmit the following appointment and supporting documents for:

APPOINTEE:	David N. Sanford
POSITION:	Member (Private Sector Representative), Board of Trustees Government of Guam Retirement Fund
TERM LENGTH:	Five (5) Years (To fill the seat of Gerard Cruz, whose term has expired)

The appointment is subject to the consent of *I Liheslaturan Guåhan*. Please schedule a hearing at your earliest convenience.

Senseramente,

LOURDES A. LEON GUERRERO
I Maga'hagan Guåhan
Governor of Guam

cc: Lt. Governor of Guam

0710

2019 JUL -3 PM 7:58 X

UFISINAN I MAGA'HAGA
OFFICE OF THE GOVERNOR

LOURDES A. LEON GUERRERO
MAGA'HAGA - GOVERNOR



JOSHUA F. TENORIO
SICUNDO MAGA'LAHI - DEPUTY GOVERNOR

July 1, 2019

David N. Sanford
[REDACTED]

Dear Mr. Sanford:

Pursuant to my authority under 4 GCA § 8138, I am appointing you to serve as a

MEMBER, BOARD OF TRUSTEES; GOVERNMENT OF GUAM RETIREMENT FUND
Private Sector Representative
Term Length: Five (5) Years
(To fill the seat of Gerard Cruz, whose term has expired)

Appointing you to this position comes with great responsibility because you will have a vital role to perform which will place many demands on your time and energy. Integrity and honesty are virtues that I prioritize for my Administration to exemplify, and I know that you will do the same while in service to the people of Guam. Your responsibilities shall be significant and consequential. I trust that you will fulfill your duties and set a standard for others to follow.

I appreciate your willingness to serve in this position, and I am confident that your time will be spent in a manner to improve the island of Guam.

This appointment is subject to the advice and consent of *I Liheslaturan Guåhan*. If you have any questions or concerns, please contact the Office of the Governor.

Senseramente,

LOURDES A. LEON GUERRERO
I Maga'hågan Guåhan
Governor of Guam

cc: Lt. Governor of Guam
Chairman, GGRF Board

RECEIVED BY THE OFFICE OF THE GOVERNOR
1010 APO FPO, GUAM 96106-1001
OFFICE OF THE GOVERNOR



OFFICE OF THE GOVERNOR OF GUAM
Nomination and Appointment Information Sheet

The following information is required for submission to the
Speaker of *I Liheslaturan Guåhan* in accordance with Title 4, Guam Code Annotated Section 2103

NOMINEE INFORMATION

Last Name SANFORD	First Name DAVID	Middle Initial N
Mailing Address [REDACTED]		Apartment/Unit #
City [REDACTED]	State [REDACTED]	Zip Code [REDACTED]
Phone [REDACTED]	E-mail Address [REDACTED]	
Position to which Appointment is Made Member, Board of Trustees, Government of Guam Retirement Fund		
Are you a citizen of the United States? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>		
If no, are you authorized to work in the United States? Yes <input type="checkbox"/> No <input type="checkbox"/>		

EDUCATION

SCHOOL (Name, City and State)	DATES OF ATTENDANCE	TYPE OF DEGREE	YEAR EARNED
High School St. Johns School, Tumon, Guam		High School Diploma	2003
College Rochester Institute of Technology, Rochester, NY		BS (Software Eng)	2008
Graduate School Rochester Institute of Technology, Rochester, NY		MBA	2010
Other Degree		CPA	2011

EMPLOYMENT HISTORY

Name of Present/Last Employer and Mailing Address Sanford Technology Group Tamuning, Guam	Position Title General Manager
	Dates Held 2011 - Present

Previous Employer and Mailing Address Deloitte and Touche Tamuning, Guam	Position Title Audit Associate
	Dates Held 10/2010 - 10/2011

Previous Employer and Mailing Address Rochester Institute of Technology Center for Campus Life Rochester, NY	Position Title Event Regis & Contracts Grad Asst.
	Dates Held 8/2008 - 5/2010

Previous Employer and Mailing Address	Position Title
	Dates Held

Previous Employer and Mailing Address	Position Title
	Dates Held

PRIOR GOVERNMENT OF GUAM SERVICE

Agency or Department Name		Position Title	
Address	Phone No.	Dates Held	

Agency or Department Name		Position Title	
Address	Phone No.	Dates Held	

PRIOR GOVERNMENT SERVICE (EXCLUDING GOVERNMENT OF GUAM)

<i>Agency or Department Name</i>		<i>Position Title</i>	
<i>Address</i>	<i>Phone No.</i>	<i>Dates Held</i>	

<i>Agency or Department Name</i>		<i>Position Title</i>	
<i>Address</i>	<i>Phone No.</i>	<i>Dates Held</i>	

TRAINING

<i>INSTITUTE/SEMINARS/ON-THE-JOB TRAINING</i>	<i>DATE</i>

AWARDS

<i>List all educational, professional, civic awards, and recognition for public service:</i>

PROFESSIONAL INVOLVEMENT

<i>List involvement on a local/national/international level. List organizations, activities participated in, and offices held:</i>
<p>Guam Society of Certified Public Accountants American Institute of Certified Public Accountants Guam Young Professionals</p>

COMMUNITY / CIVIC INVOLVEMENT

List organizations, activities participated in, and offices held:

PUBLICATIONS AND PRESENTATIONS

List any published articles, papers delivered at professional meetings, etc.:

MILITARY SERVICE (Please attach Form DD-214)

<i>BRANCH</i>	<i>DATES OF SERVICE</i>	<i>RANK AT DISCHARGE</i>	<i>TYPE OF DISCHARGE</i>

OTHER INFORMATION

(1) Have you ever been found guilty of a felony in any court, whether within or without the United States?

Yes No If so, please specify in detail: _____

Address of the Court: _____

Date(s) of Conviction: _____

Specific Infraction Committed: _____

(2) Have you ever been declared mentally incompetent by any court, whether within or without the United States?

Yes No If so, please specify in detail the reasons and facts related to such declaration:

(3) Have you ever been found not guilty or not punishable in any criminal proceedings by reason of insanity?

Yes No

(4) Have you ever been confined to a mental institution for any reason?

Yes No If so, please specify in why the appointing authority believes you that you are not suffering from any mental illness or affliction:



OFFICE OF THE GOVERNOR OF GUAM

Statement of Financial Interests


(Required by 4 G.C.A. §13104.1)

TO: The Honorable Lourdes A. Leon Guerrero
Governor of Guam
Ricardo J. Bordallo Governor's Complex
Adelup, Guam 96910

FROM: David N. Sanford

- I have no financial interest in any business
- I do have interest(s) in the following business(es):

NAME AND ADDRESS OF BUSINESS INTEREST	TYPE AND AMOUNT OF INTEREST



Signature

7/3/19

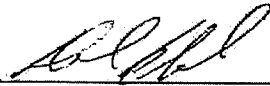
Date

AFFIDAVIT / DECLARATION UNDER PENALTY OF PERJURY

I, the undersigned, do hereby depose and say that: (1) I have read and reviewed the information contained in the attached Appointment/Nomination letter from the Governor of Guam; (2) that the matters contained in the Appointment/Nomination letter, together with all attachments thereto, are true and correct and that I am competent to testify to said matters; and (3) that this Declaration is made for the purpose of complying with the requirements of 4 G.C.A. Section 2103.5.

I declare under penalty of perjury under the laws of Guam (4 G.C.A. Section 4308) that the foregoing is true and correct.

David N Sanford



Print Name and Signature

7/3/19

Date



SUPERIOR COURT OF GUAM

Guam Judicial Center • 120 West O'Brien Drive • Hagatña, Guam 96910

Telephone (671) 475-3370/475-3449

Fax (671) 472-2856

DANIELLE T. ROSETE
Clerk of Court

Name: DAVID NIKOLAUS SANFORD

SS#:

ID# GU DL#

Date of Birth:

CERTIFICATE OF SEARCH

The undersigned Clerk hereby certifies the following results of a diligent search of the records of this Court:

Criminal Cases:

- A. No Case Found
- B. 1. Criminal Case No.
- 2. Criminal Case No.
- 3. Criminal Case No.
- 4. Criminal Case No.
- 5. Criminal Case No.

Criminal Record: Page 1 of 1

Civil Cases:

- A. No Case Found
- B. 1. Civil Case No.
- 2. Civil Case No.
- 3. Civil Case No.
- 4. Civil Case No.
- 5. Civil Case No.

Civil Record: Page 1 of 1

Request for further information may be addressed at the Records Division of the Superior Court of Guam, Guam Judicial Center, 120 West O'Brien Drive, Hagatña, Guam. Hours of operation are Monday – Friday, 8:00 a.m. to 5:00 p.m. Closed Saturday, Sunday and local/federal holidays. Court Clearances are Non-Refundable.

Dated: 06/27/2019

DANIELLE T. ROSETE
Clerk of Court

BY: CHRISTIANE CACHERO-XIAO
Deputy Clerk



The absence of an original Court Seal invalidates this document

Prepared By: MG



**Government of Guam
 GUAM POLICE DEPARTMENT
 RECORDS & IDENTIFICATION SECTION
 P.O. Box 23909
 Guam Main Facility, Guam 96921**



June 26, 2019

SUBJECT: CRIMINAL HISTORY RECORD

NAME:	David N. SANFORD		
DATE OF BIRTH:	██████████	FINGERPRINT #	NONE
■	The individual has no record of criminal conviction(s) in GPD files that are subject to Guam law and rules and regulations of the Department.		

*****NOTHING FOLLOWS*****

THIS INFORMATION MAY BE LIMITED TO A LOCAL CRIMINAL OFFENSE ONLY AND IS NOT INTENDED FOR USE FOR ANY LOCAL, STATE, OR FEDERAL LAW ENFORCEMENT AGENCY.

By Direction: BARBIE

The absence of an original GUAM POLICE seal invalidates this police clearance.
 REVISED 04/26/2019

STEPHEN C. IGNACIO
 Chief of Police

DAVID SANFORD, MBA, CPA

SUMMARY

- A highly-motivated and fast-learning Software Engineer/MBA/Certified Public Accountant with nine years' experience working in various capacities as software designer, general manager, accountant, and audit associate.

WORK EXPERIENCE

Sanford Technology Group, Tamuning, GU
General Manager, October 2011 - Present

- Worked with a team to design and implement an enhanced cash receipts system for our payroll department which processes funds received from customers and creates the appropriate electronic files necessary for funds transfers, tax payments, employee direct deposits and more, while maintaining accuracy through control totals
- Designed and implemented a paperless quarterly tax report management and distribution process for the payroll department which ensures the security and confidentiality of the documents, while saving weeks of manual labor per quarter through the automation of manual processes
- Met with various customers to understand their unique requirements and business processes to develop and implement custom files and/or reports, and made these files/reports available via download from our website
- Established enhanced internal controls and authored numerous standard operating procedure documents
- Currently managing an SOC 1 Type 2 audit to provide our customers with reasonable assurance that STG's internal controls have been tested and are designed and operating effectively

Deloitte and Touche, Tamuning, GU
Audit Associate, October 2010 - October 2011

- Performed financial audits in a team setting to ensure the clients' financial statements were fairly presented
- Tested clients' internal controls to ensure effectiveness
- Inspected and verified clients' inventories against their financials to ensure accurate recording
- Worked closely with department managers and their staff to gather accurate and helpful information

Rochester Institute of Technology Center for Campus Life, Rochester, NY
Event Registration and Contracts Graduate Assistant, August 2008 - May 2010

- Handled contracts for the majority of performers, speakers, and musicians who performed at RIT
- Assisted campus clubs and organizations with the registration and setup of public and private on-campus events
- Served as a liaison between clubs, organizations, and departments, and all of RIT's various service providers
- Participated in Student Government Finance Committee meetings
- Trained new hires on the RIT contracts process and RIT's Welcome Center policies and procedures

EDUCATION / LICENSES

Certified Public Accountant, 2011

Rochester Institute of Technology, Rochester, NY
Master of Business Administration in Accounting, May 2010
GPA: 3.75/4.0

Rochester Institute of Technology, Rochester, NY
Bachelor of Science in Software Engineering, May 2008
GPA: 3.56/4.0

MEMBERSHIPS / ACTIVITIES / ACHIEVEMENTS

- Guam Society of Certified Public Accountants / American Institute of Certified Public Accountants
- Guam Young Professionals - Current member and former elected Steering Committee member

FIRST NOTICE of Public Hearing - November 4, 2019 at 10:30AM

Speaker's Office <speaker@guamlegislature.org>

Fri, Oct 25, 2019 at 9:55 AM

To: Speaker Tina Rose Muna Barnes <speaker@guamlegislature.org>

Cc: Clerks Office <clerks@guamlegislature.org>, Guam MIS <MIS@guamlegislature.org>, Tom Unsioq <sgtarms@guamlegislature.org>, av@guamlegislature.org, phnotice@guamlegislature.org, protocol@guamlegislature.org

October 25, 2019

MEMORANDUM**TO: All Senators, Media, and Stakeholders****FROM: Speaker Tina Rose Muña Barnes****SUBJECT: FIRST NOTICE OF PUBLIC HEARING – MONDAY, NOVEMBER 4TH, 2019**

Bueñas yan Háfa Adai! Please be advised that the Committee on Public Accountability, Human Resources, and the Guam Buildup will convene a Public Hearing on Monday, November 4, 2019 beginning at 10:30AM in *I Liheslaturan Guåhan*'s Public Hearing Room. The Committee will hear and accept testimony for the following items on the Agenda:

- Appointment of John A. Smith as Member of the Civil Service Commission; to serve a term of six (6) years.
- Appointment of Anthony P. Benavente; to fill the expired term of Julie L. Quinata (October 1, 2019 – September 30, 2025).
- Appointment of David N. Sanford; to serve a term of five (5) years; to fill the expired term of Gerard Cruz.
- Bill No. 177 – 35 (COR) – Tina Rose Muña Barnes

AN ACT TO REPEAL SECTION 5 OF PUBLIC LAW NO. 33-186 AND ARTICLE 6, CHAPTER 8, TITLE 4, OF THE GUAM CODE ANNOTATED, RELATIVE TO THE GUAM RETIREMENT SECURITY PLAN (GRSP).

Written testimonies may be delivered to the Office of Speaker Tina Muña Barnes at 163 Chalan Santo Papa, Hagåtña, Guam 96910 or via email to speaker@guamlegislature.org . Copies of testimonies submitted forty-eight (48) hours prior to the hearing will be available to the public at the hearing.

In compliance with the American with Disabilities Act, individuals requiring assistance or accommodations should contact the Office of Speaker Muña Barnes by phone call at 477-2520/1 or via email at speaker@guamlegislature.org . *Si Yu'us Ma'ase'!*

*Sinseru yan Minagåhet,***Office of the Speaker • Tina Rose Muña Barnes**
Committee on Public Accountability, Human Resources & the Guam Buildup35th Guam Legislature
I Mina'trentai Singko na Liheslaturan Guåhan

Guam Congress Building | 163 Chalan Santo Papa | Hagatna, GU 96910

T: (671) 477-2520/1

speaker@guamlegislature.org



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Gumai pribilehu yan konfedensia este siha na mensáhi. Solo espiátmente para hágu ma entensioña pat ma aturisa para unrisibi. Sen prubidu kumu ti un ma aturisa para manribisa, na'setbe, pat mandespátcha. Yanggen lachi rinisibu-mu nu este na mensáhi , put fabot ago' guatu gi I numa'huyong gi as speaker@guamlegislature.org yan despues destrosa todú siha I kopian mensáhi. Si Yu'os ma'áse'.

--



Sinseru yan Minagâhet,

Office of the Speaker • Tina Rose Muña Barnes
Committee on Public Accountability, Human Resources & the Guam Buildup





35th Guam Legislature
I Mina'trentai Singko na Liheslaturan Guåhan

Guam Congress Building | 163 Chalan Santo Papa | Hagatna, GU 96910
T: (671) 477-2520/1
speaker@guamlegislature.org

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4 attachments

-  **Doc. No. 35GL-19-0710.pdf**
831K
-  **Doc. No. 35GL-19-1109.pdf**
6010K
-  **Doc. No. 35GL-19-1100.pdf**
7815K
-  **Public Hearing Agenda.pdf**
375K



Office of the Speaker
TINA ROSE MUÑA BARNES
I Mina'Trentai Singko Na Liheslaturan Guahan



October 25, 2019

MEMORANDUM

TO: All Senators, Media, and Stakeholders

FROM: Speaker Tina Rose Muña Barnes

SUBJECT: FIRST NOTICE OF PUBLIC HEARING – MONDAY, NOVEMBER 4TH, 2019

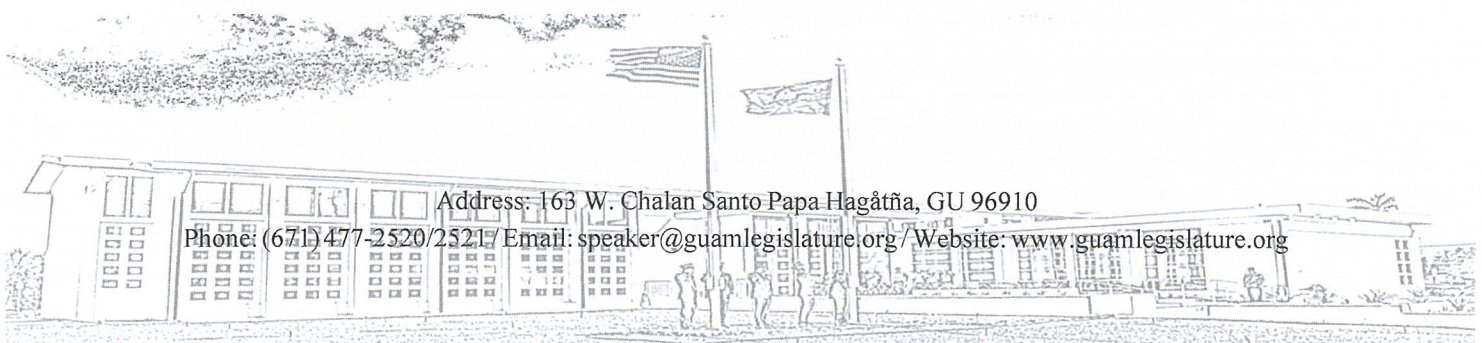
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- Appointment of David N. Sanford; to serve a term of five (5) years; to fill the expired term of Gerard Cruz.
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Written testimonies may be delivered to the Office of Speaker Tina Muña Barnes at 163 Chalan Santo Papa, Hagåtña, Guam 96910 or via email to speaker@guamlegislature.org. Copies of testimonies submitted forty-eight (48) hours prior to the hearing will be available to the public at the hearing.

In compliance with the American with Disabilities Act, individuals requiring assistance or accommodations should contact the Office of Speaker Muña Barnes by phone call at 477-2520/1 or via email at speaker@guamlegislature.org. *Si Yu'us Ma'ase'!*



Updated Public Hearing Notice

Speaker's Office <speaker@guamlegislature.org>

Mon, Oct 28, 2019 at 11:16 AM

To: Speaker Tina Rose Muna Barnes <speaker@guamlegislature.org>

Cc: Tom Unsiog <sgtarms@guamlegislature.org>, Clerks Office <clerks@guamlegislature.org>, av@guamlegislature.org, Guam MIS <MIS@guamlegislature.org>, protocol@guamlegislature.org, phnotice@guamlegislature.org

October 25, 2019

MEMORANDUM**TO:** All Senators, Media, and Stakeholders**FROM:** Speaker Tina Rose Muña Barnes**SUBJECT:** FIRST NOTICE OF PUBLIC HEARING – MONDAY, NOVEMBER 4TH, 2019

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- Appointment of John A. Smith as a Member of the Civil Service Commission; to serve a term of six (6) years.
- Appointment of Anthony P. Benavente; as a **Member of the Civil Service Commission**; to fill the expired term of Julie L. Quinata (October 1, 2019 – September 30, 2025).
- Appointment of David N. Sanford; as a **Member of the Board of Trustees Government of Guam Retirement Fund (Private Sector Representative)**; to serve a term of five (5) years; to fill the expired term of Gerard Cruz.
- Bill No. 177 – 35 (COR) – Tina Rose Muña Barnes

AN ACT TO REPEAL SECTION 5 OF PUBLIC LAW NO. 33-186 AND ARTICLE 6, CHAPTER 8, TITLE 4, OF THE GUAM CODE ANNOTATED, RELATIVE TO THE GUAM RETIREMENT SECURITY PLAN (GRSP).

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*Sinseru yan Minagåhet,***Office of the Speaker • Tina Rose Muña Barnes**

Committee on Public Accountability, Human Resources & the Guam Buildup

35th Guam Legislature

I Mina'trentai Singko na Liheslaturan Guåhan

Guam Congress Building | 163 Chalan Santo Papa | Hagatna, GU 96910

T: (671) 477-2520/1





speaker@guamlegislature.org



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Gumai pribilehu yan konfedensia este siha na mensâhi. Solo espesiâtmente para hâgu ma entensioña pat ma aturisa para unrisibi. Sen prubidu kumu ti un ma aturisa para manribisa, na'setbe, pat mandespâtcha. Yanggen lachi rinisibu-mu nu este na mensâhi , put fabot ago' guatu gi I numa'huyong gi as speaker@guamlegislature.org yan despues destrosa todû siha I kopian mensâhi. Si Yu'os ma'âse'.

4 attachments

-  **Public Hearing Notices.pdf**
579K
-  **Doc. No. 35GL-19-0710 (1).pdf**
831K
-  **Doc. No. 35GL-19-1109 (1).pdf**
6010K
-  **Doc. No. 35GL-19-1100 (1).pdf**
7815K



Office of the Speaker
TINA ROSE MUÑA BARNES
I Mina' Trentai Singko Na Liheslaturan Guahan



October 25, 2019

MEMORANDUM

TO: All Senators, Media, and Stakeholders

FROM: Speaker Tina Rose Muña Barnes

SUBJECT: FIRST NOTICE OF PUBLIC HEARING – MONDAY, NOVEMBER 4TH, 2019

Bueñas yan Hâfa Adai! Please be advised that the Committee on Public Accountability, Human Resources, and the Guam Buildup will convene a Public Hearing on Monday, November 4, 2019 beginning at 10:30AM in *I Liheslaturan Guahan's* Public Hearing Room. The Committee will hear and accept testimony for the following items on the Agenda:

- Appointment of John A. Smith as Member of the Civil Service Commission; to serve a term of six (6) years.
- Appointment of Anthony P. Benavente; as a **Member of the Civil Service Commission**; to fill the expired term of Julie L. Quinata (October 1, 2019 – September 30, 2025).
- Appointment of David N. Sanford; as a **Member of the Board of Trustees Government of Guam Retirement Fund (Private Sector Representative)**; to serve a term of five (5) years; to fill the expired term of Gerard Cruz.
- Bill No. 177 – 35 (COR) – Tina Rose Muña Barnes
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Address: 163 W. Chalan Santo Papa Hagåtña, GU 96910
Phone: (671) 477-2520/2521 / Email: speaker@guamlegislature.org / Website: www.guamlegislature.org



SECOND NOTICE OF PUBLIC HEARING – MONDAY, NOVEMBER 4TH, 2019

Speaker's Office <speaker@guamlegislature.org>

Wed, Oct 30, 2019 at 10:11 AM

Cc: phnotice@guamlegislature.org, Guam MIS <MIS@guamlegislature.org>, av@guamlegislature.org, protocol@guamlegislature.org, Clerks Office <clerks@guamlegislature.org>, Tom Unsioq <sgtarms@guamlegislature.org>

October 30, 2019

MEMORANDUM**TO: All Senators, Media, and Stakeholders****FROM: Speaker Tina Rose Muña Barnes****SUBJECT: SECOND NOTICE OF PUBLIC HEARING – MONDAY, NOVEMBER 4TH, 2019**

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- Appointment of David N. Sanford; as a Member of the Board of Trustees Government of Guam Retirement Fund (Private Sector Representative) ; to serve a term of five (5) years; to fill the expired term of Gerard Cruz.

~~• Bill No. 177 – 35 (COR) – Tina Rose Muña Barnes - REMOVED~~

~~AN ACT TO REPEAL SECTION 5 OF PUBLIC LAW NO. 33-186 AND ARTICLE 6, CHAPTER 8, TITLE 4, OF THE GUAM CODE ANNOTATED, RELATIVE TO THE GUAM RETIREMENT SECURITY PLAN (GRSP).~~

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Sinseru yan Minagåhet,

Office of the Speaker • Tina Rose Muña Barnes
Committee on Public Accountability, Human Resources & the Guam Buildup

35th Guam Legislature
I Mina'trentai Singko na Liheslaturan Guåhan





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Office of the Speaker
TINA ROSE MUÑA BARNES
I Mina' Trentai Singko Na Liheslaturan Guahan



October 30, 2019

MEMORANDUM

TO: All Senators, Media, and Stakeholders

FROM: Speaker Tina Rose Muña Barnes

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- ~~Bill No. 177 – 35 (COR) – Tina Rose Muña Barnes - REMOVED
AN ACT TO REPEAL SECTION 5 OF PUBLIC LAW NO. 33-186 AND ARTICLE 6, CHAPTER 8, TITLE 4, OF THE GUAM CODE ANNOTATED, RELATIVE TO THE GUAM RETIREMENT SECURITY PLAN (GRSP).~~

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Office of the Speaker
TINA ROSE MUÑA BARNES
I Mina' Trentai Singko Na Liheslaturan Guahan



AGENDA

Monday, November 4, 2019
Confirmation and Public Hearing

- Appointment of **John A. Smith** as Member of the Civil Service Commission; to serve a term of six (6) years.
- Appointment of **Anthony P. Benavente**; to fill the expired term of Julie L. Quinata (October 1, 2019 – September 30, 2025).
- Appointment of **David N. Sanford**; to serve a term of five (5) years; to fill the expired term of Gerard Cruz.



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Phone: (671) 477-2520/2521 E/Email: speaker@guamlegislature.org Website: www.guamlegislature.org



TINA ROSE MUÑA BARNES

Chairperson

Committee on Public Accountability, Human Resources, and the Guam Buildup

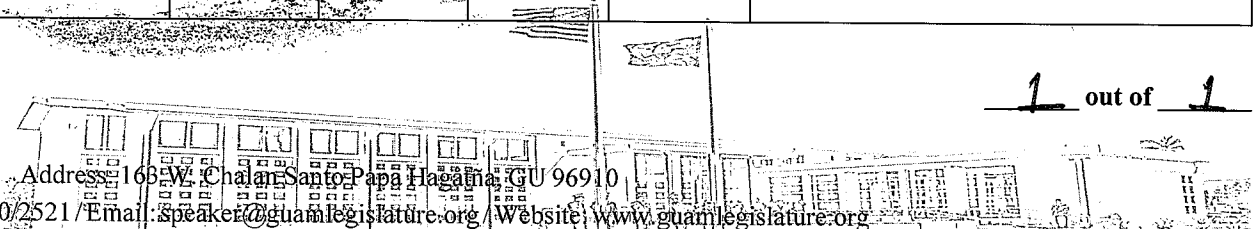
Public Hearing

Monday, November 4, 2019, 10:30AM

I Liheslaturan Guåhan, Public Hearing Room

Appointment of **David N. Sanford**; Member of the Board of Trustees Government of Guam Retirement Fund (Private Sector Representative)

Name	Agency/ Organization	Oral Testimony	Written Testimony	Support	Not in Support	Contact Information
R. Marsil Johnson		✓		✓		
David N. Sanford		✓		✓		
Roxann Akana-Sanford				✓		
Vanessa Williams		✓		✓		
Artemio Hernandez, Ph.D.				✓		



CIVILLE & TANG, PLLC

www.civilletang.com

Sender's Direct E-Mail:
dmanglona@civilletang.com

November 4, 2019

VIA E-MAIL

speaker@guamlegislature.org

The Honorable Tina Rose Muña Barnes, Speaker
Committee on Public Accountability, Human Resources, and the Guam Buildup
I Mina'Trentai Singko Na Liheslaturan Guåhan
Hagåtña, Guam 96910

**RE: Executive Appointment of Mr. David N. Sanford
Board of Trustees; Government of Guam Retirement Fund
November 4, 2019 Confirmation Hearing**

Dear Madam Speaker:

I am an associate attorney with the Civile & Tang law firm.

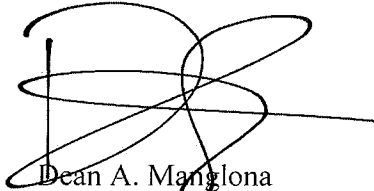
I submit this letter in full support of Governor Lou Leon Guerrero's nomination of my good friend, David Sanford, to serve on the Retirement Fund Board of Trustees as a private sector representative.

I have personally known David since 2012. His credentials support his nomination. He is a fellow Certified Public Accountant, and he has over 8 years of work experience in the business sector.

I believe that David possesses important qualities anyone would hope to find in a member of board as important as the Retirement Fund Board. I know David to be deliberate and thoughtful in his decision making process. More importantly, he is someone who is reliable and trustworthy.

If confirmed, I have no doubt that David will be a valuable addition to the Retirement Board.

Sincerely,



Dean A. Manglona

330 Hernan Cortez Avenue, Suite 200 • Hagåtña, Guam 96910
T: (671) 472-8868/9 • F: (671) 477-2511



Office of the Speaker

TINA ROSE MUÑA BARNES

I Mina' trentai Singko Na Liheslaturan Guåhan

Committee on Public Accountability, Human Resources, and the Guam Buildup

COMMITTEE REPORT DIGEST

I. OVERVIEW

The Committee on Public Accountability, Human Resources, and the Guam Buildup convened a public hearing on Monday, November 4, 2019 beginning at 10:30 am in the Guam Legislature Public Hearing Room. Among the items on the agenda was the consideration of the appointment of **David N. Sanford** to serve as the Member (Private Sector Representative) of the Board of Trustees Government of Guam Retirement Fund, and whose appointment history is as follows:

- Appointed by *I Maga'hågan Guåhan* on July 1, 2019, for five (5) years, to fill the expired term of Gerard Cruz;
- Appointment packet forwarded to *I Liheslatura* on July 3, 2019;
- Appointment packet forwarded to this committee for public consideration on July 10, 2019.

Public Notice Requirements

Public Hearing notices were disseminated via e-mail to all senators and all main media broadcasting outlets on Friday, October 25, 2019 (5-Day Notice), and again on Wednesday, October 30, 2019 (48-Hour Notice).

Senators Present

Acting Chairperson Kelly Marsh (Taitano), Ph.D.

II. SUMMARY OF TESTIMONY & DISCUSSION

The public hearing was called to order at 10:35 AM.

Mr. Sanford's confirmation hearing was third on the agenda and began at 11:30 AM.

Acting Chairperson Kelly Marsh (Taitano), Ph.D.: Okay and we will begin with our third nomination. We're basically right on time beginning again at 11:30 and here we have several people that are giving a written testimony or are here for support so as I call your name if you're providing written testimony if you could go ahead and sit at the table we have Ms. Vanessa Williams, Mr. David Sanford, Roxane I'm sorry if I'm not correctly reading the last name, Akana-Sanford, and Marsil Johnson, and Artemio Hernandez.

So, for those of you that are testifying and sitting through some of the other nominations until we were able to call out.

Vanessa Williams: My name is Vanessa Williams. I am a lawyer in private practice and I'm my particular focus is in administrative and business law. Throughout my career I've worked in various capacities with and on government boards and commissions and today I'm here to to submit my testimony in support of Governor Lou Leon Guerrero's nomination of Mr. Dave Sanford as a member of the Government of Guam Retirement Fund Board of Trustees. I have the utmost confidence in Dave's nomination to the position.

His competence and integrity in ensuring that his competence and integrity will ensure that the direction and operation of the retirement fund continues to promote the economy and efficiency in administering the benefits for the employees of the government of Guam while encouraging qualified personnel to enter and remain in the service of the government.

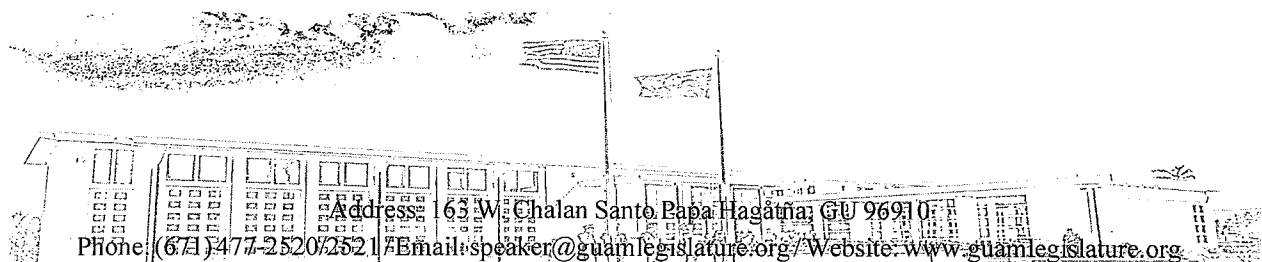
I've known Dave for close to 20 years. I've always known him to be a person of great humility integrity and thoughtful deliberation I have also watched as he has grown to be a conscientious business and family man who recognizes both the importance of our workforce as well as the greater community in which we share. He's very active in the business community all while being a supportive husband to his lovely wife Roxanne and father to their three children.

Dave is also extremely competent and intelligent his combined business background and Technology auditing and assurance make him a uniquely valuable asset to the retirement fund board. He has in his experience he's used technology to transform processes to save thousands of hours in manual labor per year and he's promoted more efficient workflows.

I have no doubt he will bring the same forward-thinking business sense to the retirement fund board once he is confirmed. In closing I want to voice my highest support for his prompt confirmation to the retirement fund board of trustees. His intelligence, integrity, and expertise will bring invaluable strengths to effectuate its mission and strategic intent. thank you.

Acting Chairperson Kelly Marsh (Taitano) Ph.D.: *Si Yu'os Ma'åse'* and now again as I mentioned before it's so very important for us to be hearing about people who know and you've known Mr. Sanford for about 20 years so people who really know and can testify on their behalf and then all of the things that you bring forward are those sort of qualities that are very important to us we do want to hear that our board members are those that have been known to have integrity be thoughtful in their deliberations to be very conscientious competent intelligent and those sort of things so thank you very much for providing that perspective.

We will now also go on to Mr. Johnson if you can state your full name and then go ahead and begin your testimony.



R. Marsil Johnson: Good morning Senator Marsh Taitano. My name is R. Marsil Johnson. I'm here in support of the nomination of Dave Sanford for the government of Guam Retirement Fund Board of Trustees.

I had the honor of meeting and working with Mr. Sanford when we both served in the steering committee of the Guam Young Professionals. Mr. Sanford served as the treasurer a responsibility that was given to him in no small part due to his impressive background including his work with Sanford Technology Group, his time with Deloitte and Touche, and the fact that he's a Certified Public Accountant.

I know Dave not only to be a very smart and driven person but also to be someone who cares deeply about his family and about our Island. He's a type of person who should be on a board like this because he's the type of person who can take a position like this seriously and who would give it the attention and priority that it deserves.

I personally think it's important to usher in a new wave of people in government boards and positions who are young properly educated who have relevant experience who have new ideas and were not content to merely do things the way they've been done in the past.

I think Mr. Sanford is exactly that type of person and I think this board could truly benefit from his membership. Thank you for your time and attention and considering his nomination. I humbly respectfully request that you hope and hope that you and those in your committee see fit to approve his nomination. Thank You.

Acting Chairperson Kelly Marsh (Taitano) Ph.D.: *Si Yu'os Ma'ase yan Sulang* (Thank you in Palauan) for your testimony Mr. Johnson. Again, it's it's very important for us to hear that there are those in the community that really see the value in and see the integrity of those that are nominated. it provides that reassurance that it's it's somebody who will as you said take their position seriously and make it a priority so thank you for sharing those things.

We'll next move on to Mr. Sanford himself.

David N. Sanford: Good morning Vice Chair Taitano. My name is David Sanford. I'm the General Manager of Sanford Technology Group. Thank you for being here this morning and allowing me to provide testimony.

I'd like to thank Governor Lou Leon Guerrero and Lieutenant Governor Josh Tenorio for nominating me for this position. I'd also like to thank my parents Dave and Toni Sanford and my wife Roxanna Akana-Sanford for encouraging me to accept the nomination and you know to step up to the plate and serve the community. It's a great honor to be nominated and I accept the nomination.



Please allow me to give an introduction to my qualifications. From 2003 to 2010, I lived in Rochester New York. It was there I attended Rochester Institute of Technology where I obtained a Bachelor of Science in software engineering and an MBA in accounting despite having a job secured months before graduation in New York.

I decided that it was time to come home so I returned back to Guam at the end of 2010. Since returning home I've worked as an audit associate with Deloitte. We have participated in the audit of several companies and governments both local and outer Island. During that time, I also studied and passed off studied for and passed all four parts of the CPA exam in 2011. I obtained my CPA license and I also transitioned to working with our family business Sanford Technology Group.

Over the past eight years at Sanford Technology Group I've served as a programmer an internal accountant and a systems consultant, so I basically am like the jack-of-all-trades jumping around to different areas of the business. With that, with that experience, I was recently promoted to General Manager for Sanford Technology Group.

In terms of my professional memberships, I am a member of the Guam Society of CPAs, the America Institute of Certified Public Accountants, and the Guam Young Professionals, where I'm a current member and past treasurer.

This is my first nomination to a board position and if confirmed will be the first time that I'm serving on a board. With this I realized that there is a learning curve ahead as well as some unique challenges I feel that my experience over the years, my educational background, and my interactions with other professionals and different industries gives me the skillset I need to face and overcome any of these challenges.

Also, I think it's a benefit to the board to have a fresh perspective there may be some existing issues that have been persistent with the retirement fund board and hopefully by bringing someone in from outside and also from the private sector I'll provide a different viewpoint or a different angle and maybe give some ideas that haven't been thought of in the past.

If confirmed, I look forward to working with the board to ensure that the retirees receive all the benefits that they've earned and I look forward to protecting and preserving the soundness of the fund for the benefit of all its members thank you.

Acting Chairperson Kelly Marsh (Taitano) Ph.D.: *Saina Ma'åse'* for your testimony Mr. Sanford. You brought forward several important points that definitely, some of them being the background that you bring along with you. I think it makes a very good sense to have somebody in the Retirement Board having the accounting background that you do have, having an MBA, being a certified public accountant, those seem to be very logical in helping us as you stated make



sure that the finance is there and the operation are running in a sound way for our retirees.

So, definitely your background seems that it could really provide important skills and help guide the board. And let's see, I had noted some other things and you did as well some of your volunteerism or that you belong to so being a member of the Guam Society of Certified Public Accountants, American Institute of Certified Public Accountants, as you mentioned, the Guam Young Professionals and I think those help speak to...so, my first question would be the time commitment, you might have been here earlier when I noted that for a lot of our commissions and boards one of the problems that we've had is being able to make quorum so that the work of the board or Commission can be done.

So this is your first nominee I think that you're very committed and enthusiastic but do you do you see yourself as being able to make the time commitment to make sure that the board is able to meet regularly and conduct its work?

David N. Sanford: Yes I do believe that I will have the time available and I will make the time available for any business that the board needs to attend to. I know that there's a lot of other people in my position you know where everyone's busy and everyone is juggling a lot of different schedules but it's up to the people who are you know appointed to make the time and I feel that I'll be able to do that.

Acting Chairperson Kelly Marsh (Taitano) Ph.D.: *Maolek*, and it was also good to hear that your family is on board I meant to mention it to one of the earlier nominees who had also mentioned he had his wife's full support and really having served on a board myself. A lot of those kind of of commitments from the family members are really important as well they make a large difference in what being able to make those time commitment and keep everything moving forward.

So, that was very good to hear I have a couple of questions and it says, the first one as a trustee you are in a fiduciary relationship to the beneficiaries of the retirement fund in regard to the management of the fund how would you approach your duties as a trustee to ensure that you act so in the interest of the members and beneficiaries of the fund?

David N. Sanford: I would use my CPA background not necessarily with any specific rules or regulations but general knowledge and to know how being able to you know read financial statements understand any laws that are applicable and being from the private sector I don't have you know I don't know how to explain it but I I'm not part of the retirement fund in terms of you know benefiting from it so I could look at it more objectively. I also don't have any immediate family members who are there so I wouldn't be swayed in one way or another to make decisions based on someone's input I would just look at the facts as they are and discuss with the other trustees on what we think is the best course going forward.



Acting Chairperson Kelly Marsh (Taitano) Ph.D.: that was very helpful to hear. *Saina ma'åse pura enåo.* And the other one I mean this uh this largely got to the other one so I'll just move on to the general question of conflict of interest and you already touched on it a bit that you don't have family you yourself are not part of the retirement but if you see any other potential conflict of interest do you think that you would have any trouble in recusing yourself for that?

David N. Sanford: I When I was speaking with Lieutenant Governor Tenorio that was one of the issues that I brought up. I asked him specifically if because I didn't know you know since it's my first time if there would be any conflicts of interest, so we went through the different areas of business that I'm involved in and we've decided that there are no conflicts. In the event one does come up, I will recuse myself.

Acting Chairperson Kelly Marsh (Taitano) Ph.D.: It's very good to hear that you've had that that conversation already. I think that speaks to your due diligence and having that at the forefront of your mind. So again, very reassuring to hear so *si Yu'os ma'åse' todus hamyo.*

If there's no one left to testify or if the people that are at the table have no further statements the public hearing on the appointments of Mr. John A. Smith, as a member of the Civil Service Commission; Mr. Anthony P. Benavente, as a member of the Civil Service Commission; and Mr. David N. Sanford, as a member of the Board of Trustees Government of Guam Retirement Fund Private Sector Representative has been duly heard this public hearing is now going to be adjourned.

The time is now 11:47 almost 7 so we'll go with 7. Individuals who wish to submit testimony will have several days from today to do so by emailing it to speaker@guamlegislature.org where you may send or hand-deliver it to 163 Chalan Santo Papa Street, Hagåtña, Guam. *Saina Ma'åse'.* Have a good day.

The public hearing was adjourned at 11:47 PM

III. FINDING & RECOMMENDATIONS

The Committee on Public Accountability, Human Resources, and the Guam Buildup hereby reports out the nomination of Mr. David N. Sanford to serve as Member (Private Sector Representative) of the Board of Trustees Government of Guam Retirement Fund with the recommendation to do confirm.





Office of the Speaker

TINA ROSE MUÑA BARNES

I Mina'trentai Singko Na Liheslaturan Guåhan

Committee on Public Accountability, Human Resources, and the Guam Buildup

COMMITTEE VOTING SHEET

Nomination of **David N. Sanford** to serve as Member (Private Sector Representative.) of the Board of Trustees; GGRF; Term - 5 years; to fill the seat of Gerard Cruz

	SIGNATURE	TO DO CONFIRM	TO NOT CONFIRM	TO REPORT OUT ONLY	TO ABSTAIN	TO PLACE IN INACTIVE FILE
TINA ROSE MUÑA BARNES Speaker Chairperson		✓ 2/10/20				
KELLY G. MARSH (TAITANO), PH.D. Vice Chairperson		✓ 2/19/2020				
TELENA CRUZ NELSON Vice Speaker Member						
AMANDA L. SHELTON Legislative Secretary Member		✓ 2/19/2020				
RÉGINE BISCOE LEE Member		✓ 19 FEB 2020				
JOE S. SAN AGUSTIN Member						
THERESE M. TERLAJE Member		2-19-2020				
CLYNTON E. RIDGELL Member		✓ 2/19/2020				
JOSE T. TERLAJE Member						
SABINA FLORES PEREZ Member		2/19/2020 ✓				
WILLIAM M CASTRO Member						
LOUISE B. MUÑA Member						
TELO TAITAGUE Member		2/19/20				
JAMES C. MOYLAN Member						
MARY CAMACHO TORRES Member						